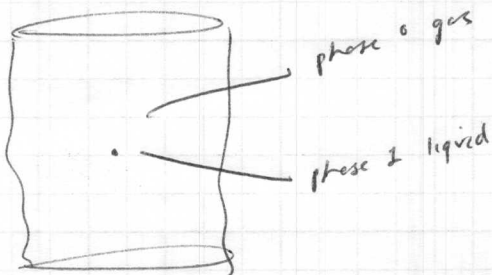


goal: understand mechanisms of nucleation and growth

want to separate these events

nucleation typically requires more energy than growth; maximize separation by maximizing energy (temperature) difference between the 2 steps.

part 1: nucleation of a liquid droplet in a gas atmosphere (Volmer-Becker capillary approximation \Rightarrow spherical droplets)



need a nucleation site to grow a particle

upon particle formation, $\Delta G_{tot} = \Delta G_V + \Delta G_S$

ΔG_V — volume
 ΔG_S — surface
 ΔG_S — surface energy

$$\Delta G_V = \frac{4}{3} \pi R^3 \Delta \mu + 4 \pi R^2 \gamma$$

$\frac{4}{3} \pi R^3 \Delta \mu$ — change in chemical potential per atom (or molecule) (interfacial energy change)
 $\frac{4}{3} \pi R^3$ — atomic volume

$$\Rightarrow N \Delta \mu = N (\mu_L - \mu_V) = -N k_B T \ln S, \quad S = \frac{P}{P_2}$$

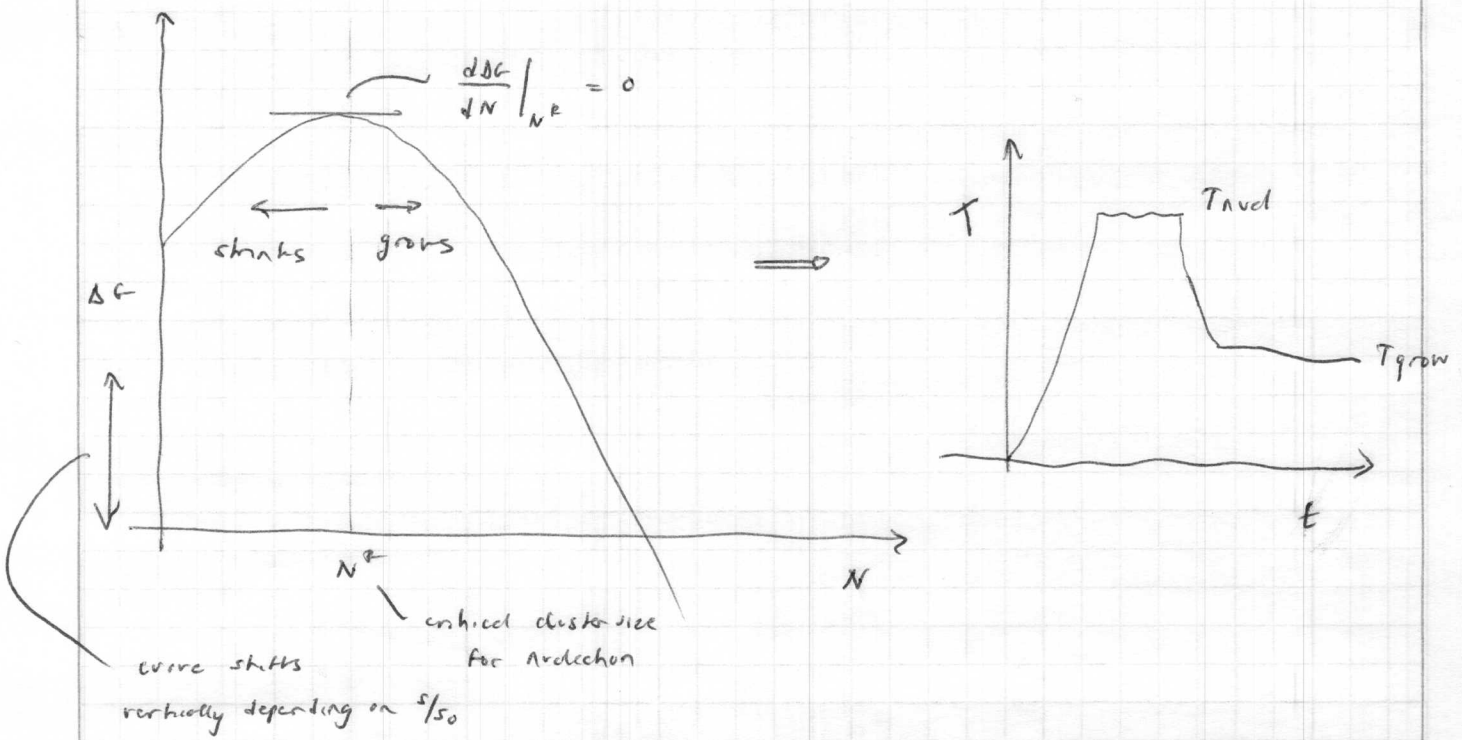
P_2 — equilibrium vapor pressure
 (assuming an ideal vapor)

$$\Rightarrow 4 \pi R^2 \gamma = \gamma \cdot 4 \pi \left(\frac{3 a_v}{4 \pi} \right)^{2/3} N^{2/3}, \quad \text{from } V = \frac{4}{3} \pi R^3 = a_v N$$

$$\Rightarrow R = \left(\frac{3 a_v N}{4 \pi} \right)^{1/3}$$

$$\text{So, } \Delta G = -N k_B T \ln S + \gamma 4 \pi \left(\frac{3 a_v}{4 \pi} \right)^{2/3} N^{2/3} \quad \text{SA} = 4 \pi R^2 = 4 \pi \left(\frac{3 a_v}{4 \pi} \right)^{2/3} N^{2/3}$$

$\Delta G = W =$ reversible work needed to form a cluster of N atoms.



What is the critical cluster size N^* ?

Solve $\frac{d\Delta G}{dN} = 0$: $-k_b T \ln s + \frac{4\pi f}{3} \left(\frac{3a_v}{4\pi}\right)^{2/3} N^{-1/3} = 0$

$$\Rightarrow N^* = \frac{32\pi f^3 a_v^2}{3(k_b T \ln s)^3}$$

$$R^* = \left(\frac{3a_v N^*}{4\pi}\right)^{1/3} \Rightarrow R^* = \frac{2f a_v}{k_b T \ln s}$$

barrier height = nucleation energy : $\Delta G(R^*) = \Delta G(N^*)$

$$\Delta G^* = \frac{16\pi f^3 a_v^2}{3(k_b T \ln s)^3}$$

f is really a distribution because of local fluctuations in concentration

if $S \uparrow$, $\Delta G \downarrow \Rightarrow N^* \downarrow$

if $T \uparrow$, $\Delta G \downarrow \Rightarrow N^* \downarrow \Rightarrow$ want to nucleate at high temp then cool rapidly

can also change concentrations / cooling chemistries, etc.

(same goal - to separate events)

examples: Metals above bulk melting point

Al: 1472 K temperatures at which $P_e = 0.01$ Torr

Fe: 1678

$$P_e = P_0 e^{-E^*/kT}$$

Ag: 1262

see curve for metals, $S = 50$, $P = 0.5$ Torr, $P_e = 0.01$ Torr

part 2: growth: focusing and broadening of size distributions based on diffusion and precipitation in solution

starting with sugimoto fig. 2, showing diffusion to a particle in solution, we state that

$$J = 4\pi r^2 D \frac{dc}{dx} \leftarrow \text{concentration of precursor ("monomer")}$$

\uparrow Monomer flux \uparrow diffusion coefficient

$$\frac{J}{4\pi D} \int_{r_{inf}}^r \frac{dr}{r^2} = \int_{c_b}^{c_0} dc \Rightarrow \frac{J}{4\pi D} \left(-\frac{1}{r} \right)_{r_{inf}}^r = c_i - c_b$$

\swarrow interface \swarrow bulk

$$\Rightarrow \frac{J}{4\pi D} \left(\frac{1}{r} - \frac{1}{r+d} \right) = c_i - c_b$$

$$\frac{J}{4\pi D} \left(\frac{d}{r(r+d)} \right) = c_b - c_i$$

$$\Rightarrow J = \frac{4\pi D r (r+d)}{d} (c_b - c_i)$$

governs diffusion of monomers to surface.

for the surface reaction,

$$J = 4\pi r^2 k (c_i - c_e)$$

← equilibrium concentration (solubility)

← rate constant (1st-order)

therefore :

$$\frac{c_i - c_e}{c_b - c_i} = \frac{D}{kr} \left(1 + \frac{r}{d} \right)$$

case i : diffusion controlled growth = $c_i = c_e$, if $D \ll kr$
 means the surface concentration is in equilibrium w/ solution

so

$$J = \frac{4\pi D r (r+d)}{d} (c_b - c_e) = \frac{4\pi r^2}{V_m} \frac{dr}{dt}$$

↑
molar volume

$$\frac{dr}{dt} = \frac{DV_m}{r^2} \left(\frac{r+d}{d} \right) (c_b - c_e)$$

$$\frac{dr}{dt} = DV_m \left(\frac{1}{r} + \frac{1}{d} \right) (c_b - c_e)$$

thus: $c_b > c_e$: growth

$c_b < c_e$: shrinkage (dissolves)

if $r \uparrow$, $\frac{dr}{dt} \downarrow$: bigger particles grow more slowly

case ii : reaction controlled growth

if $D \gg kr$, then $c_b \approx c_i$ and growth is limited by surface reaction rate

$$\text{so } \frac{dr}{dt} = kV_n(c_b - c_e)$$

$\Rightarrow \frac{dr}{dt}$ is independent of particle size

however, $(c_b - c_e)$ is not independent of particle size

let's see how the size distribution evolves

recall earlier we derived that $r^* = \frac{2\gamma a_v}{kT \ln S}$

$$\ln S^t = P/P_e = \exp\left(\frac{2\gamma a_v}{kTr^*}\right)$$

$$\Rightarrow c_e = c_\infty \exp\left(\frac{2\gamma a_v}{kTr}\right)$$

$$c_b = c_\infty \exp\left(\frac{2\gamma a_v}{kTr^*}\right)$$

c_∞ = solubility of solid w/
infinite dimensions
["equilibrium vapor pressure"]
[dimensionless]

if $2\gamma a_v \ll kTr$, approximate

$$c_e \approx c_\infty \left(1 + \frac{2\gamma a_v}{kTr}\right)$$

$$c_b \approx c_\infty \left(1 + \frac{2\gamma a_v}{kTr^*}\right)$$

r^* = particle radius in
equilibrium with bulk
solution

$$\text{so, } c_b - c_e = \frac{c_{\infty} \cdot 2f a v}{kT} \left(\frac{1}{r^+} - \frac{1}{r} \right)$$

for diffusion controlled growth, $\delta \gg r$

$$\text{so } \frac{dr}{dt} = \underbrace{D V_M}_{= N_A a v} \left(\frac{1}{r} + \frac{1}{\delta} \right) (c_b - c_e)$$

← what we just calculated.

$$\Rightarrow \frac{dr}{dt} = D N_A a v \cdot c_{\infty} \cdot \frac{2f a v}{kT} \left(\frac{1}{r} \right) \left(\frac{1}{r^+} - \frac{1}{r} \right)$$

$$\text{choose } k_D = \frac{2f D N_A a v^2}{kT} c_{\infty}$$

$$\Rightarrow \frac{dr}{dt} = \frac{k_D}{r} \left(\frac{1}{r^+} - \frac{1}{r} \right)$$

$r > r^+$ grows
 $r < r^+$ shrinks } Ostwald ripening

lets assign $\bar{r} = \text{Mean } r$, $\Delta r = \text{stdev of } r$

assuming constant r^+ , we can show that $\Delta r \approx 1 + f/\bar{r}$

can be shown that

$$\frac{d(\Delta r)}{dt} = \frac{k_D \Delta r}{\bar{r}^2} \left(\frac{2}{\bar{r}} - \frac{1}{r^+} \right), \quad \text{thus,}$$

$$\frac{d(\Delta r)}{dt} > 0 \quad \text{if } \frac{\bar{r}}{r^+} < 2 \quad \Rightarrow \text{broadens (}\Delta r \text{ decreases)}$$

$$\frac{d(\Delta r)}{dt} < 0 \quad \text{if } \frac{\bar{r}}{r^+} > 2 \quad \Rightarrow \text{focuses (}\Delta r \text{ increases)}$$

\Rightarrow see plot on slide; confirmed experimentally by Peng

for reaction-controlled growth,

$$\frac{dr}{dt} = K_R \left(\frac{1}{r^2} - \frac{1}{r} \right), \quad K_R = \frac{2\delta k N_a a_v^2 c_{\infty}}{k_b T}$$

$$\Rightarrow \frac{d \ln r}{dt} = \frac{K_R \Delta r}{r^2} \rightarrow \text{always grows (at a decreasing rate)}$$